longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL						
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

(Print or Tyj	pe Responses	)																	
1. Name and Address of Reporting Person* Carpenter George C IV						2. Issuer Name and Ticker or Trading Symbol CNS RESPONSE, INC. [CNSO]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O CNS RESPONSE, INC., 85 ENTERPRISE, SUITE 410					3. Date of Earliest Transaction (Month/Day/Year) 10/08/2013								X Officer (give title below) Other (specify below)  Chief Executive Officer						
(Street) ALISO VIEJO, CA 92656				4. If A	4. If Amendment, Date Original Filed(Month/Day/Year)								6. Individual or Joint/Group Filing(Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person						
	(City) (State) (Zip)					Table I - Non-Derivative Securities Acqui								ired, Disposed of, or Beneficially Owned					
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year			Execution any	2A. Deemed Execution Date, i any (Month/Day/Year		3. Tran Code (Instr.		(A (I	. Securities AcA) or Disposed Instr. 3, 4 and 5	of (D)	Owned Followin Transaction(s) (Instr. 3 and 4)		ecurities Beneficially ng Reported		Ownership Form:	7. Nature of Indirect Beneficial Ownership Instr. 4)			
Reminder: 1	Report on a s	eparate line fo	or each	class of securities					Per in t a cı	son his f urre	s who respo form are not ntly valid OM	require IB conti	d to r rol nu	espond u ımber.				474 (9-02)	
				Table							osed of, or Ber nvertible secu		Own	ed					
Security or Ex (Instr. 3) Price Deriv	2. Conversion or Exercise Price of Derivative Security		Year)		Code	Transaction Code Secu (Instr. 8) Acqu or Di (D)		erivative (courities cquired (A) Disposed of (b) nstr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A of Underlying Securities (Instr. 3 and 4		ng		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s	Ownership Form of Derivative Security: Direct (D) or Indirect	(Instr. 4)	
					Code	e V	(A)	(D)	Date Exerci	sable	Expiration Date	Title	Title Amount or Number of Shares		(Instr. 4)	(Instr. 4)			
Option to Purchase	\$ 0.25	10/08/201	3(1)		A		435,00	00	<u>(2</u>	2)	10/08/202	3 Comi Sto		435,000	\$ 0	435,000	D		
Repor	ting O	wners																	
					Relatio	nship	s												
Reporting	orting Owner Name / Address Director Owner		Officer	Officer				er											
Carpenter George C IV C/O CNS RESPONSE, INC. 85 ENTERPRISE, SUITE 410 ALISO VIEJO, CA 92656		Chie	Chief Executive Officer																

**Signatures** 

/s/ George Carpenter IV

## Date \*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The grant of these options under the Company's 2012 Omnibus Incentive Compensation Plan (as amended, the "Plan") was approved by the Company's board of directors on October 8, 2013. The stockholders approved the Plan at the Company's Annual Meeting of Stockholders on May 23, 2013.
- (2) These options vest pro-rata over 12 months of fiscal 2014 starting on October 8, 2013.

10/10/2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.